

## Chomsky Hierarchy Part 1: Regular Grammars

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## 1.1 Properties

We said every regular language is context free. Any context free language can be decided by a pushdown automata. You can convert any finite automata to a pushdown automata by simply ignoring the stack. The context free languages are also characterized by context free grammars, so it follows that there should be a class of grammars which enumerate regular languages.

## 1.2 Regular Grammars

**Definition 1.1** *A right-regular grammar is a tuple  $(V, \Sigma, P, S)$  such that:*

- $V$  is a set of nonterminals, sometimes called variables. These are always denoted by upper case letters
- $\Sigma$  is the finite alphabet, denoted by lower case letters.
- $P$  is a set of production rules, only of the form:
  - $A \rightarrow aB$
  - $A \rightarrow a$
  - $A \rightarrow \epsilon$
- $S \in V$  is the starting symbol.

**Theorem 1.2** *A language is regular if and only if there exists a regular grammar to generate it.*

First we show for every right-regular<sup>1</sup> grammar, there exists an equivalent NFA for the same language.

Given a regular grammar  $G = (V, \Sigma, P, S)$ , we construct an NFA  $N = (\Sigma, Q, q_0, \delta, F)$

- $\Sigma$  is the same
- $Q$  is a set of states, one for each non-terminal, plus an additional state.  $Q = V \cup \{A\}$
- Recall that for an NFA,  $\delta(\cdot, \cdot)$  is a set. For each nonterminal  $B$  and character  $a$ :
  - For each nonterminal  $C$ , if  $B \rightarrow aC$ , then  $C \in \delta(B, a)$
  - If  $B \rightarrow a$ , then  $A \in \delta(B, a)$
  - For each  $a \in \Sigma$ , define  $\delta(A, a) = \emptyset$

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<sup>1</sup>left-regular grammars correspond to the reverse of the languages. It has rules of the form  $A \rightarrow Aa$ . This is not something we care about so much. The expressive power of regular languages, and their corresponding machines are invariant to this choice. We choose right-regular because of pedagogy.

– If  $S \rightarrow \epsilon$ ,  $A \in \delta(S, \epsilon)$

- $q_0$ , the start state is associated with the starting nonterminal,  $S$ .
- $F = \{A\}$ .

We show  $L(N) = L(G)$  by set containment

$(L(G) \subseteq L(N))$  Let  $x = a_1a_2\dots a_n \in L(G)$ . Then there exists a production of  $x$  of the form

$$S \Longrightarrow a_1A_1 \Longrightarrow a_1a_2A_2 \Longrightarrow \dots \Longrightarrow a_1a_2\dots a_{n-1}A_{n-1} \Longrightarrow x \quad (1.1)$$

As defined, it is clear  $A_1 \in \delta(S, a_1)$ , and  $A_i \in \delta(A_{i-1}, a_i)$ . It follows then that  $A \in \delta(S, x)$ , and  $A \in F \Longrightarrow x \in L(N)$ .

$(L(N) \subseteq L(G))$  Let  $x \in L(N)$ . If  $x = \epsilon$ , then we must have that  $A \in \delta(S, \epsilon)$ , but by construction this implies that  $S \rightarrow \epsilon$  is a rule of  $G$ . So suppose  $x \neq \epsilon$ . Then there exists a sequence of states,  $S, A_1, \dots, A_{n-1}, A$  such that  $A_1 \in \delta(S, a_1)$ , and  $A_i \in \delta(A_{i-1}, a_i)$ . This implies that we must have production rules of the form

$$S \Longrightarrow a_1A_1 \Longrightarrow a_1a_2A_2 \Longrightarrow \dots \Longrightarrow a_1a_2\dots a_{n-1}A_{n-1} \Longrightarrow x \quad (1.2)$$

So  $G$  derives  $x$  and  $x \in L(G)$ .

Now we show for every NFA, there exists an equivalent right-regular grammar. Let  $D = (Q, \Sigma, \delta, q_0, F)$  be a DFA<sup>2</sup> We construct a grammar  $G = (V, \Sigma, P, S)$

- Let  $V$  correspond to the states of  $Q$
- $\Sigma$  is the same
- For each rule of the form  $\delta(B, a) = C$ , construct a production rule of the form  $B \rightarrow aC$ . If  $\delta(B, a) = C$ , and  $C \in F$ , then  $B \rightarrow a$ . If  $q_0 \in F$ , then add the production rule  $S \rightarrow \epsilon$
- $S$  corresponds to the start state,  $q_0$ .

The proof is left as exercise.

### 1.3 Problems

1. It is true, that a regular grammar will always generate a regular language, and for each regular language, there exists a regular grammar to produce it. But can a regular language be generated by a context free grammar which is not a regular grammar? If yes, give an example. If no, prove it.
2. We constructed a grammar  $G$  given an NFA  $M$ . Prove that  $S \xRightarrow{*} x \iff \delta(q_0, x) \in F$ . Just follow the reverse of the proof we showed.
3. Consider the regular language  $\{x \in \Sigma^* \mid x \text{ has an even number of 0s}\}$ . Give the minimal DFA for this language, and construct the right-regular grammar for that DFA (following the construction in the proof).

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<sup>2</sup>Not NFA, simply because the proof is easier. It doesn't matter, because you know DFAs and NFAs have the same expressive power.

4. For the language  $\{0^i1^j \mid i, j \geq 0\}$  give the minimal<sup>3</sup> right-regular grammar for this language, then construct the DFA associated with that grammar.
5. Let  $A_1, A_2$  be any non terminals and let  $a_1, \dots, a_n$  be any terminals. Prove that a production rule of the form  $A_1 \rightarrow a_1 \dots a_n A_2$  can be converted to a set of production rules of a regular grammar.

## Further Reading

- [1] Noam Chomsky and George A Miller. “Finite state languages”. In: *Information and control* 1.2 (1958), pp. 91–112.
- [2] John E Hopcroft and Jeffrey D Ullman. *Formal languages and their relation to automata*. Addison-Wesley Longman Publishing Co., Inc., 1969.

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<sup>3</sup>We haven’t talked about what a minimal regular grammar is, so just give the smallest (with respect to number of nonterminals) one you can come up with