

# Post Quantum Cryptosystems

Abrahim Ladha

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# Stuff

- Background
- Other schemes
- Hash and block cipher based
- Isogeny/Supersingular based
- Lattice Based
- Code Based

Scheme	Lattice	Code	Isogeny	Rank
BIKE		✓		
Classic McEliece		✓		
CRYSTALS-Kyber	✓			
FrodoKEM	✓			
HQC		✓		
LAC	✓			
LEDAcrypt		✓		
NewHope	✓			
NTRU	✓			
NTRU Prime	✓			
NTS-KEM		✓		
ROLLO				✓
Round5	✓			
RQC				✓
SABER	✓			
SIKE			✓	
Three Bears	✓			

Scheme	Lattice	Multivariate	Other
CRYSTALS-Dilithium	✓		
Falcon	✓		
GeMSS		✓	
LUOV		✓	
MQDSS		✓	
Picnic			✓
qTESLA	✓		
Rainbow		✓	
SPHINCS+			✓

# Evaluation Criteria

- Security, Cost/Performance, Algorithm characteristics in that order
- Security: IND-CCA2 (signatures EUF-CMA)
- Cost: space, time, probability of decryption error
- Characteristics: Systems it can run on, parallizability, elegance

# Parameter Levels (at least as hard as)

- Level1: AES128 exhaustive key search
- Level2: SHA256 collision search
- Level3: AES192 exhaustive key search
- Level4: SHA384 collision search
- Level5: AES256 exhaustive key search

# Mailing List interesting attacks

- Compact LWE: Plaintext recovery only from ciphertext. Modified by authors but same vulnerability
- CFPKM: Plaintext recovery with ciphertext and public key
- DRS Signature scheme: uses a loop. Most inputs will halt in  $< 10,000$  iterations, but  $1/30$  will loop forever.
- DME: Lots of complicated algebraic attacks
- Giophantus: IND-CPA with  $1/(10\sqrt{n})$  faster than decryption
- "Random polynomial"
- Some removed with no clear comments as to why (maybe NIST internal cryptanalyst)

# A lot of exotic systems

- Merkle-Hellman [MH78] (Knapsack)
- Post Quantum Cryptography from Mutant Prime Knots [MP10]
- Public-key encryption based on chebyshev maps [KT03]
- Public-key encryption with chaos [KSFV04]
- Paint and clocks



$\alpha$	the power in a $C^*$ construction
$\mathbf{a}, \mathbf{b}, \mathbf{c}$	constant vectors
$\mathbf{c}_S, \mathbf{c}_T$	constant parts of linear maps $S, T$
$C^* = (c_1^*, c_2^*, \dots, c_n^*)$	the Matsumoto-Imai map $C_{q,n,\alpha}^* : \mathbf{x} \mapsto \mathbf{y} = \mathbf{x}^{\alpha^{n+1}}$ in $\mathbb{F}_{q^n}$
$DF$	(symmetric) differential of the function/map $F$
$D, D_{\text{reg}}$ , and $D_{XL}$	degree in system-solving degree, operating degree of $\mathbf{F}_4/\mathbf{F}_5$ and XL
$\mathbb{F}_q$	finite (Galois) field of $q$ elements, any representation of
$g$	sometimes, a generator of $\mathbb{K} = \mathbb{F}_q$
$H_i$	symmetric matrices for quadratic part of $p_i$ (or $z_i$ ) in $v_i$
$h, i, j, k, l$	index variables, $k$ often := $[L : \mathbb{K}]$ , dimension of L over $\mathbb{K}$
$\mathcal{K}$	denoting a kernel
$\ker_v f$	kernel of the symmetric matrix denoting quadratic part of $f$ as function of $v$ .
$\mathbb{K}$	the base field, usually = $\mathbb{F}_q$
$\mathbb{L}$	$\mathbb{F}_{q^r}$ , a field that is larger than $\mathbb{K}$
$M_i$	symmetric matrices for the quadratic part of $y_i$ in $x_j$
$M_S, M_T$	matrices of linear maps $S, T$ .
$m$	number of equations
$n$	a multiplication, as a unit of time
$n$	number of variables
$O(), o(), \Omega()$	standard big-O, small-o, Omega notations
$o$	number of oil variables
$P_{ik}$	Matsumoto-Imai notation for coefficient of $w_i$ in $z_k$
$P = (p_1, \dots, p_m)$	public map
$Q_{ik}$	Matsumoto-Imai notation for coefficient of $w_i^2$ in $z_k$
$Q = (q_1, \dots, q_m)$	central map
$q$	the size of the base field
$R_{ijk}$	Matsumoto-Imai notation for coefficient of $w_i w_j$ in $z_k$
$R$	$ R $ , the number of relations (equations) in XL or $\mathbf{F}_4$
$\mathcal{R}^{(D)}$ or $\mathcal{R}$	Set of equations in XL or $\mathbf{F}_4$
$r$	usu. the minimum rank or # of removed (minus) equations
$S$	the initial linear map, $S(\mathbf{w}) = \mathbf{x} = M_S \mathbf{w} + \mathbf{c}_S$
$T$	the final linear map, $T(\mathbf{y}) = \mathbf{z} = M_T \mathbf{y} + \mathbf{c}_T$ , or # terms in XL ( $ T $ below)
$\mathcal{T}^{(D)}$ or $\mathcal{T}$	set of terms (monomials) in XL or $\mathbf{F}_4$
$u$	often the high rank parameter or # of Rainbow stages
$v$	number of vinegar variables
$v_1 < v_2 < \dots < v_{u+1} = n$	structure of Rainbow ( $v_1, \sigma_1, \dots, \sigma_u$ ), $\sigma_i := v_{i+1} - v_i$
$\mathbf{w} = (w_1, \dots, w_n)$	signature or plaintext block
$X_i, Y_j$	elements in intermediate fields
$\mathbf{x} = (x_1, \dots, x_n)$	central variables, input to central map $Q$
$\mathbf{y} = (y_1, \dots, y_m)$	output of central map $Q$ , central polynomials
$\mathbf{z} = (z_1, \dots, z_m)$	digest or ciphertext block

Table 1. Notations and Terminology

# Lattices

- A lattice is just a set of points in  $\mathbb{R}^n$  with a periodic structure.
- A basis of a vector space (like  $\mathbb{R}^n$ ) is a set of vectors such that  $\forall v \in V, v = \sum x_i b_i$  for  $x_i \in \mathbb{R}$ .
- A basis of a lattice is the same, but we require the coefficients to be integral ( $x_i \in \mathbb{Z}$ )

# Lattice Hardness

- Lattice hardness problems are cool because they are worst case, rather than average case.
- SVP: Given a lattice basis, find the shortest nonzero vector in the lattice
- A few variants, most other lattice hardness problems can reduce to SVP

# LLL

- The LLL algorithm solves SVP in polytime, so how can it be used as a hardness problem for crypto?
- SVP: Given a lattice basis, find the shortest nonzero vector in the lattice
- GapSVP: Given SV  $u$ , is  $\|u\| < 1$  or at most some  $\beta$ ?
- Ajtai98 proves SVP is NP-Hard
- CVP: Given  $v$  (not necessarily in  $\Lambda$ ), find  $u \in \Lambda$  such  $\|u - v\| < \|y - v\| \quad \forall y \in \Lambda$
- Most other lattice problems, including CVP, reduce to the hardness of SVP

- The LLL algorithm approximates SVP in polytime up to factor  $2^{O(n)}$
- Not a problem since you can instantiate hard instances of SVP
- Most other lattice hardness problems can reduce to SVP like CVP.

# Learning with errors

- A hardness problem from machine learning!
- Given  $A \in \mathbb{Z}_q^{m \times n}$ ,  $s, e \in \mathbb{Z}_q^m$ , let  $v = As + e$
- Given  $(A, v)$  find  $s$ .
- Most other lattice hardness problems can reduce to SVP like CVP.

# A Quantum Reduction to SVP

- A (classical) efficient LWE algorithm implies a quantum efficient algorithm for solving SVP
- Given oracle access to solving CVP, You can uncompute a quantum state
- $|x\rangle \otimes |y\rangle \rightarrow |x\rangle \otimes |0\rangle$
- Motivation for lattice use in PQ crypto compared to other hardness assumptions.

# Recall

- Every Field is a Ring
- A Ring does not require:
- Multiplicative inverses ( $\forall a \exists b \ ab = 1_R$ )
- A multiplicative identity ( $\exists 1_R \forall a \ a1_R = 1_Ra = a$ )
- commutivity of multiplication ( $\forall a, b \ ab \neq? \ ba$ )
- the two identities are different ( $1_R \neq 0_R$ )



# Ring Learning with Errors (RLWE)

- $\mathbb{Z}/q\mathbb{Z} = \mathbb{Z}_q$  is a field.
- $\mathbb{Z}_q[x]$  is a ring of polynomials with coefficients from  $\mathbb{Z}_q$
- $\Phi(x)$  is an irreducible polynomial from  $\mathbb{Z}_p[x]$
- $\mathbb{Z}_p[x]/\Phi(x)$  is a quotient ring.
- Given sets  $a_i(x)$ ,  $b_i(x) = a_i(x)s(x) + e_i(x)$ , find  $s_i(x)$ ,  $e_i(x)$
- Parameterization by  $\Phi(x)$ , degree  $n$ , and error bound  $b$  on  $s$ ,  $e_i$ .

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# GLYPH Signature Scheme Generation

- $s, e \xleftarrow{\$} \mathbb{Z}_p[x]/\Phi(x)$
- $t = as + e$
- $s, e$  is the private key
- $t$  is the public key

# GLYPH Signature Scheme Signing

- $y_1, y_2 \xleftarrow{\$} \mathbb{Z}_p[x]/\Phi(x)$
- $w := ay_1 + y_2$
- $c := \text{POLYHASH}(\omega_w || m)$
- $z_1 := sc + y_1$
- $z_2 := ec + y_2$
- The signature is then  $c, z_1, z_2$

# GLYPH Signature Scheme Verification

- $w' := az_1 + z_2 - tc$
- $c' = \text{POLYHASH}(\omega_{w'} | m)$
- verify  $c' = c$

# GLYPH Signature Scheme

## Correctness

- $az_1 + z_2 - tc =$
- $a(sc + y_1) + z_2 - (as + e)c =$
- $asc + ay_1 + z_2 - asc - ec =$
- $ay_1 + z_2 - ec =$
- $ay_1 + (ec + y_2) - ec = ay_1 + y_2 = w$

# LWE Relation to Codes

- Equivalently presented as decoding random linear codes
- Decisional vs Search
- Given  $a_i(x), b_i(x)$ , determine if  $b_i = a_i(x)s(x) + e_i(x)$  or if  $b_i(x)$  is drawn uniformly random
- If there exists such a distinguisher, then you can efficiently solve the McEliece Problem
- Given  $G, t$  find  $m$  such that  $mG - c = t$

# References

- <https://cims.nyu.edu/~regev/papers/qcrypto.pdf>
- Post Quantum Cryptography by D.J. Bernstein
- <https://nvlpubs.nist.gov/nistpubs/ir/2019/NIST.IR.8240.pdf>
- <https://eprint.iacr.org/2017/766.pdf>
- Given  $G$ ,  $t$  find  $m$  such that  $mG - c = t$